



Wyoming Department of Health Healthcare Licensing and Surveys

LeadingAge Wyoming August 27, 2024

Laura Hudspeth, SA Director

Pat Davis, Chief, LSC and Construction Branch

Don Cobb, LSC Surveyor



Wyoming Department of Health Healthcare Licensing and Surveys

Agenda:

- LSC/EP and Health Survey Data
- Chapter 3 Construction Rules
- Upcoming LSC Training
- CMS CMP Reinvestment Program and Nursing Home Staffing Campaign



Wyoming Department of Health Healthcare Licensing and Surveys

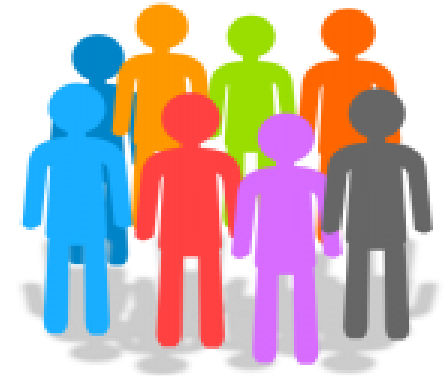
Agenda:

- Center for Excellence for Behavioral Health in Nursing Facilities
- Nurse Aide Training and Testing
- CMS Pilot: Risk-Based Survey Process



Wyoming Department of Health Healthcare Licensing and Surveys

HLS STAFFING **Positions Filled**



- Business Office – 3/4
- Healthcare Surveillance - 7/14
- LSC and Construction- 5/5



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Nursing Homes

Most Frequently Cited Deficiencies

LIFE SAFETY CODE

FFY24 YEAR TO DATE

NATIONAL		
Tag #	Description (6,764 Surveys)	% Surveys Cited
K0353	Sprinkler System - Maintenance and Testing	44.40%
K0918	Electrical Systems - Essential Electric System	32.40%
K0321	Hazardous Areas - Enclosure	26.30%
K0363	Corridor - Doors	25.60%
K0345	Fire Alarm System - Testing and Maintenance	25.30%
K0712	Fire Drills	24.00%
K0920	Electrical Equipment - Power Cords and Extens	21.40%
K0324	Cooking Facilities	20.90%
K0372	Subdivision of Building Spaces - Smoke Barrie	15.80%
K0511	Utilities - Gas and Electric	14.90%

REGIONAL		
Tag #	Description (289 Surveys)	% Surveys Cited
K0353	Sprinkler System - Maintenance and Testing	56.40%
K0712	Fire Drills	42.60%
K0918	Electrical Systems - Essential Electric Syste	38.10%
K0345	Fire Alarm System - Testing and Maintenance	34.90%
K0321	Hazardous Areas - Enclosure	28.40%
K0291	Emergency Lighting	20.80%
K0521	HVAC	20.80%
K0324	Cooking Facilities	20.40%
K0914	Electrical Systems - Maintenance and Testing	20.40%
K0363	Corridor - Doors	19.70%

WYOMING		
Tag #	Description (24 Surveys)	% Surveys Cited
K0345	Fire Alarm System - Testing and Maintenance	75.00%
K0353	Sprinkler System - Maintenance and Testing	75.00%
K0918	Electrical Systems - Essential Electric Syste	41.70%
K0321	Hazardous Areas - Enclosure	37.50%
K0914	Electrical Systems - Maintenance and Testing	29.20%
K0761	Maintenance, Inspection and Testing - Doors	25.00%
K0920	Electrical Equipment - Power Cords and Extens	20.80%
K0291	Emergency Lighting	16.70%
K0900	Health Care Facilities Code - Other	16.70%
K0712	Fire Drills	12.50%



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Nursing Homes

Most Frequently Cited Deficiencies

EMERGENCY PREPAREDNESS

FFY24 YEAR TO DATE

NATIONAL		
Tag #	Description (1761 Surveys)	% Surveys Cited
E0039	EP Testing Requirements	36.90%
E0041	Hospital CAH and LTC Emergency Power	23.20%
E0004	Develop EP Plan, Review and Update Annually	21.70%
E0015	Subsistence Needs for Staff and Patients	14.90%
E0037	EP Training Program	13.90%
E0006	Plan Based on All Hazards Risk Assessment	11.70%
E0030	Names and Contact Information	10.10%
E0026	Roles Under a Waiver Declared by Secretary	9.60%
E0036	EP Training and Testing	8.30%
E0031	Emergency Officials Contact Information	8.10%

REGIONAL		
Tag #	Description (31 Surveys)	% Surveys Cited
E0039	EP Testing Requirements	64.50%
E0004	Develop EP Plan, Review and Update Annually	35.50%
E0015	Subsistence Needs for Staff and Patients	12.90%
E0030	Names and Contact Information	9.70%
E0023	Policies/Procedures for Medical Documentation	9.70%
E0031	Emergency Officials Contact Information	6.50%
E0041	Hospital CAH and LTC Emergency Power	6.50%
E0006	Plan Based on All Hazards Risk Assessment	6.50%
E0024	Policies/Procedures- Volunteers and Staffing	6.50%
E0025	Arrangement with Other Facilities	64.50%

WYOMING		
Tag #	Description (5 Surveys)	% Surveys Cited
E0004	Develop EP Plan, Review and Update Annually	60.00%
E0031	Emergency Officials Contact Information	40.00%
E0039	EP Testing Requirements	40.00%
E0029	Development of Communication Plan	20.00%
E0041	Hospital CAH and LTC Emergency Power	20.00%
E0034	Information on Occupancy/Needs	20.00%



NURSING HOME STANDARD SURVEYS AVERAGE # OF LSC DEFICIENCIES

FFY	WYOMING	REGION	NATION
2018	4.0	4.8	4.6
2019	2.9	4.8	4.6
2020	4.1	5.1	4.5
2021	3.5	4.8	4.5
2022	6.0	4.5	4.6
2023	7.3	4.5	4.9
2024 YTD	4.0	4.2	4.7



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- **Amending the Chapter 3 Construction Rules**
- **ALF/Boarding Home and SNF/NF Life Safety Code Training**



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NURSING HOMES

TOP 10 CITED HEALTH DEFICIENCIES

Standard and Complaint Surveys

FFY2023

WYOMING		
170 Surveys		
Tag	Description	%
F0884	Reporting - National Health Safety Network	26.50%
F0600	Free from Abuse and Neglect	10.60%
F0758	Free from Unnec Psychotropic Meds/PRN Use	10.60%
F0880	Infection Prevention & Control	10.00%
F0656	Develop/Implement Comprehensive Care Plan	8.20%
F0812	Food Procurement, Store/Prepare/Serve Sanitary	8.20%
F0689	Free of Accident Hazards/Supervision/Devices	8.20%
F0623	Notice Requirements Before Transfer/Discharge	7.10%
F0761	Label/Store Drugs and Biologicals	6.50%
F0609	Reporting of Alleged Violations	6.50%

REGION		
2,275 Surveys		
Tag	Description	%
F0884	Reporting - National Health Safety Network	35.60%
F0689	Free of Accident Hazards/Supervision/Devices	10.90%
F0880	Infection Prevention & Control	9.70%
F0812	Food Procurement, Store/Prepare/Serve Sanitary	6.50%
F0600	Free from Abuse and Neglect	6.50%
F0684	Quality of Care	4.80%
F0609	Reporting of Alleged Violations	4.50%
F0677	ADL Care Provided for Dependent Residents	4.40%
F0761	Label/Store Drugs and Biologicals	4.40%
F0584	Safe/Clean/Comfortable/Homeli ke Environment	4.30%

NATION		
98,086 Surveys		
Tag	Description	%
F0884	Reporting - National Health Safety Network	16.00%
F0689	Free of Accident Hazards/Supervision/Devices	6.90%
F0880	Infection Prevention & Control	5.90%
F0812	Food Procurement, Store/Prepare/Serve Sanitary	5.10%
F0684	Quality of Care	5.10%
F0656	Develop/Implement Comprehensive Care Plan	4.20%
F0677	ADL Care Provided for Dependent Residents	3.70%
F0761	Label/Store Drugs and Biologicals	3.30%
F0609	Reporting of Alleged Violations	3.10%
F0686	Treatment/Svcs to Prevent/Heal Pressure Ulcer	2.90%



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NURSING HOMES

TOP 10 CITED HEALTH DEFICIENCIES

Standard and Complaint Surveys

FFY2024 YTD

WYOMING		
103 Surveys		
Tag	Description	%
F0884	Reporting - National Health Safety Network	23.30%
F0600	Free from Abuse and Neglect	14.60%
F0812	Food Procurement, Store/Prepare/Serve Sanitary	12.60%
F0880	Infection Prevention & Control	12.60%
F0684	Quality of Care	9.70%
F0656	Develop/Implement Comprehensive Care Plan	6.80%
F0758	Free from Unnec Psychotropic Meds/PRN Use	6.80%
F0677	ADL Care Provided for Dependent Residents	4.90%
F0689	Free of Accident Hazards/Supervision/Devices	4.90%
F0883	Influenza and Pneumococcal Immunizations	4.90%

REGION		
1414 Surveys		
Tag	Description	%
F0884	Reporting - National Health Safety Network	32.80%
F0880	Infection Prevention & Control	14.90%
F0689	Free of Accident Hazards/Supervision/Devices	12.50%
F0812	Food Procurement, Store/Prepare/Serve Sanitary	10.90%
F0761	Label/Store Drugs and Biologicals	8.10%
F0600	Free from Abuse and Neglect	7.70%
F0684	Quality of Care	6.40%
F0677	ADL Care Provided for Dependent Residents	5.70%
F0658	Services Provided Meet Professional Standards	5.40%
F0657	Care Plan Timing and Revision	5.20%

NATION		
61,717 Surveys		
Tag	Description	%
F0884	Reporting - National Health Safety Network	14.30%
F0689	Free of Accident Hazards/Supervision/Devices	7.60%
F0880	Infection Prevention & Control	7.50%
F0812	Food Procurement, Store/Prepare/Serve Sanitary	6.40%
F0684	Quality of Care	5.40%
F0656	Develop/Implement Comprehensive Care Plan	4.80%
F0761	Label/Store Drugs and Biologicals	4.40%
F0677	ADL Care Provided for Dependent Residents	3.70%
F0609	Reporting of Alleged Violations	3.30%
F0695	Respiratory/Tracheostomy Care and Suctioning	3.20%



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Complaint Data

ASSISTED LIVING FACILITY						
	FFY19	FFY20	FFY21	FFY22	FFY23	FFY24 to 08/01/24
TOTAL #	23	33	24	38	40	63
SUBSTANTIATED	5	11	8	24	15	17
	21.7%	33.3%	33.3%	63.2%	41.7%	58.6%
UNSUBSTANTIATED	18	22	16	14	21	12
	78.3%	66.7%	66.7%	36.8%	58.3%	41.4%
# PENDING	0	0	0	0	4	34



NURSING HOME INFORMAL DISPUTE RESOLUTION

FEDERAL FISCAL YEAR	# SURVEYS REQUESTED	# OF TAGS	NO CHANGES	MODIFICATIONS	DELETED
2018	3	3	0	2	1 (33%)
2019	15	23	7	7	9 (39%)
2020	2	3	1	2	0 (0%)
2021	6	12	3	5	4 (33%)
2022	11	22	7	4	11 (50%)
2023	10	17	7	2	8 (47%)
2024 YTD	5	11	6	2	3 (27%)
TOTAL	52	91	31	24	36 (40%)



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NURSING HOME STANDARD SURVEYS AVERAGE # OF HEALTH DEFICIENCIES

FFY	WYOMING	REGION	NATION
2018	7.74	6.49	6.57
2019	7.31	6.65	6.90
2020	6.25	6.22	6.38
2021	4.65	6.30	6.15
2022	5.51	6.34	6.94
2023	5.42	6.02	7.24
2024 YTD	4.52	6.55	7.88



NURSING HOME STANDARD AND COMPLAINT SURVEYS

F0725-SUFFICIENT NURSING STAFF DEFICIENCIES

FFY	WYOMING	REGION	NATION
2022	3	41	917
2023	3	49	994
2024 YTD	1	23	726



NURSING HOME STANDARD AND COMPLAINT SURVEYS F0725-SUFFICIENT NURSING STAFF DEFICIENCIES

WYOMING			REGION			NATION		
Tag	# of Providers	# of Times Cited	Tag	# of Providers	# of Times Cited	FY	# of Providers	# of Times Cited
FFY22	36	3	FFY22	611	41	FFY22	15275	917
FFY23	37	3	FFY23	597	49	FFY23	15127	994
FFY24 YTD	35	1	FFY24 YTD	581	23	FFY24 YTD	14933	726



Wyoming Department of Health Healthcare Licensing and Surveys

CMP Project Information:

<https://health.wyo.gov/aging/hls/civil-money-penalty-reinvestment-funds/>



Wyoming Department of Health Healthcare Licensing and Surveys

CMS Nurse Home Staffing Campaign

- 75 million CMS CMP funds
- Increase the number of nurses in nursing homes
- Promote awareness of nursing career pathways (NA/LPN/RN)



Wyoming Department of Health Healthcare Licensing and Surveys

Center for Excellence for Behavioral Health in Nursing Facilities:

<https://nursinghomebehavioralhealth.org/resources/>

Provides mental health and substance use evidence-based training, customized technical assistance, and resources to CMS certified facilities at no cost.



Wyoming Department of Health Healthcare Licensing and Surveys

Nurse Aide Training and Testing

- 67 Training Programs (HLS)
- Headmaster testing (WSBN)



Wyoming Department of Health Healthcare Licensing and Surveys

CMS Survey Process Initiative

- Piloting a Risk-Based Survey for high performing nursing homes



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THANK YOU!

